

Receipt
228/213
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Fritz Eckstein, et al.

Serial No. 08/936,657

Filed: September 24, 1997

For: **MODIFIED RIBOZYMES**

Group Art Unit: 1809

Examiner: Not yet assigned

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

**ATTN: Application Processing Division's
Customer Correction Branch**

Sir:

This is a formal request for a corrected Filing Receipt. The Filing Receipt (copy enclosed) is incorrect in that the inventor names "David M. Willliams" and "Olaf Heindenreich" are misspelled. The correct spellings are --David M. Williams-- and --Olaf Heidenreich--. Therefore, Applicant respectfully requests correction of these inventor names.

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

January 21, 1998
date of deposit

Karen M. Cruz
name of person mailing paper

KMC
signature of person mailing paper

228/213
PATENT

No fee is believed due in connection with this request. However, if this is incorrect, please charge the appropriate amount to our Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: January 20, 1998

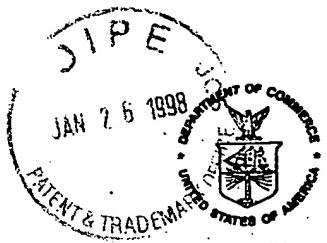
By: Wesley B. Ames
Wesley B. Ames
Reg. No. 40,893

WBA:kmc
633 West Fifth Street, Suite 4700
Los Angeles, CA 90071-2066
Telephone: (619) 552-8400
Facsimile: (213) 955-0440

RECEIVED
TECH CENTER 1600/2900
99 AUG 25 PM 2: 06

GROUP 180

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/936,657	09/24/97	1809	\$1,660.00	228213	7	45	4

LYON & LYON
FIRST INTERSTATE WORLD CENTER
633 WEST FIFTH STREET
SUITE 4700
LOS ANGELES CA 90071

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

FRITZ ECKSTEIN, GOTTINGEN, FED REP GERMANY; WOLFGANG PIEKEN, BOULDER, CO; FRITZ BENSELER, GLEICHEN /ETZBORN, FED REP GERMANY; DAVID B. OLSEN, WEST POINT, PA; DAVID M. WILLIAMS, CAMBRIDGE, ENGLAND; OLAF HEINDENREICH, GOTTINGEN, FED REP GERMANY.

CONTINUING DATA AS CLAIMED BY APPLICANT-
THIS APPLN IS A CON OF 07/965,411 08/09/93 PAT 5,672,695

FOREIGN FILING LICENSE GRANTED 12/31/97

TITLE
MODIFIED RIBOZYMES

PRELIMINARY CLASS: 536

BEST AVAILABLE COPY

RECEIVED

JAN 15 1998

RECEIVED

JAN 12 1998

U.S. PROSECUTION

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.62 which meets the provisions of 37 CFR 5.15(a). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (4)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

BEST AVAILABLE COPY